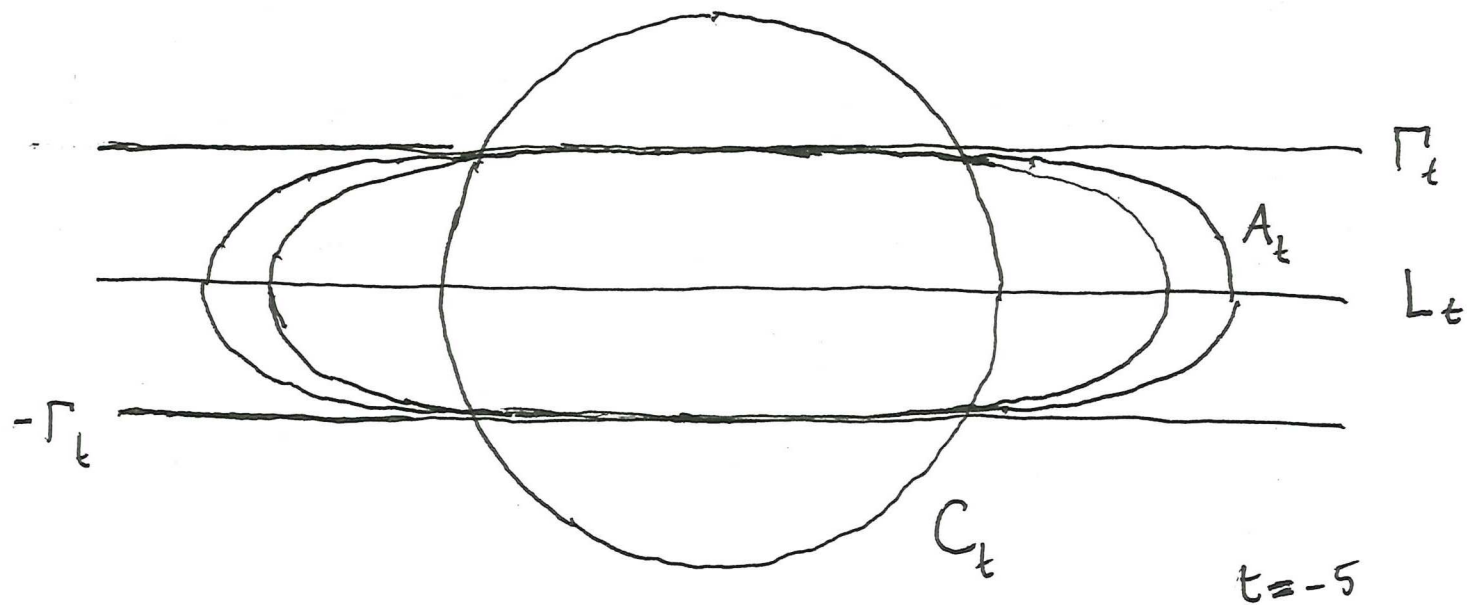


# Convex Ancient Solutions to Curve Shortening Flow



# CSF

$$\gamma: M^1 \times I \rightarrow \mathbb{R}^2, \quad M^1 \in \{S^1, \mathbb{R}\}$$

$$(\partial_t \gamma)^\perp = \vec{K} = \gamma_{ss}$$

Ancient:  $I = (-\infty, \omega)$ ,  $\omega \in (-\infty, \infty]$

Convex:  $\Gamma_t := \gamma(M^1, t) = \partial\Omega_t$ ,  $\Omega_t$  convex (preserved if  $M^1 = S^1$ )

locally uniformly convex:  $K > 0$

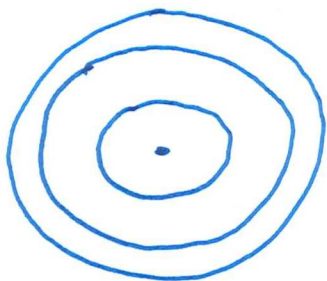
(  $\partial_t K = K_{ss} + K^3$  • so convex  $\Rightarrow$  flat or locally uniformly convex )

Compact:  $M^1 = S^1$   
(bounded)

non-compact:  $M^1 = \mathbb{R}$   
(unbounded)

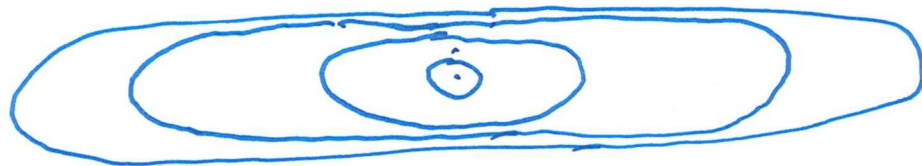
# Examples

$$\{S_{\sqrt{-2t}}\}_{t \in (-\infty, 0)}$$



Shrinking circle

$$\{A_t\}_{t \in (-\infty, 0)}, A_t = \{(x, y) \mid \cos x = e^t \cos y\}$$



Angenent oval

$$\{L_t\}_{t \in (-\infty, \infty)}, L_t = \{(x, 0), x \in \mathbb{R}\}$$

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stationary line

$$\{C_t\}_{t \in (-\infty, \infty)}, C_t = \{(x, t - \log \cos x) \mid x \in (-\frac{\pi}{2}, \frac{\pi}{2})\}$$



Cirm Reaper

+ space-time translations, spatial rotations, parabolic rescaling

# Theorem (Daskalopoulos - Hamilton - Šešum)

The shrinking circles & Angerent ovals are the only bounded, convex ancient solns to CSF in  $\mathbb{R}^2$

proof: On the Angerent oval,

$$k^2 = \cos 2\theta + \coth(-2t)$$

$\Rightarrow \alpha := (k^2)_\theta$  satisfies

$$\alpha_{\theta\theta} + k^2 \alpha \equiv 0$$

On the other hand, if

$$\alpha_{\theta\theta} + k^2 \alpha \equiv 0,$$

then

$$\alpha_t = k^2 (\alpha_{\theta\theta} + k^2 \alpha)$$

$$\equiv 0$$

$$\Rightarrow k^2 = a(\theta) + b(t)$$

$$\Rightarrow k^2 = b(t) \quad (\text{shrinking circle})$$

$$\text{or } k^2 \neq \cos 2\theta + \coth(-2t) \quad (\text{Angerent oval})$$

$$(\text{or } k^2 = a(\theta) \quad (\text{Cannon-Readers}))$$

So consider

$$I(t) := \int (\alpha_0^2 - 4\alpha^2) d\theta = - \int \frac{\alpha \alpha_t}{k^2} d\theta$$

Observe that

$$I' = -2 \int \frac{\alpha_t^2}{k^2} d\theta \leq 0. \quad \alpha_t = k^2(\alpha_{\theta\theta} + 2\alpha).$$

$$\text{Harnack} \Rightarrow \lim_{t \rightarrow -\infty} I(t) \leq 0$$

$$\boxed{k_t > 0}$$

$$\text{Cage-Hamilton} \Rightarrow \lim_{t \rightarrow 0} I(t) \geq 0$$

□

Cf. semi-linear heat eqn (Merkle-Zaag), •

2D-Ricci flow (Daskalopoulos-Hamilton-Sesum) •



Theorem (Bourne - L. - Tinaglia)

The stationary lines, shrinking circles,  
Crimm Peppers & Angenent ovals are the  
only convex ancient solutions to CF in  $\mathbb{R}^2$ .

# Monotonicity Formula

Gaussian area:  $\mathcal{H}(t) := \int_{\Gamma_t} \Phi(p,t) d\mathcal{H}^1(p),$

$$\Phi(p,t) := (-4\pi t)^{-1/2} e^{-\frac{|p|^2}{4t}}$$

Thm (Huisken):  $\frac{d}{dt} \mathcal{H}(t) = - \int_{\Gamma_t} \left| \vec{H} + \frac{p^\perp}{2t} \right|^2 \Phi(p,t) d\mathcal{H}^1(p)$   
 $\leq 0$

+ Strict inequality unless  $\vec{H}(p) + \frac{p^\perp}{2t} \equiv 0$  ~~character~~

$\Leftrightarrow$  shrinker, ~~where~~  $\Gamma_t = \sqrt{-t} \Gamma_{-1}$   
(+ convexity)  $\Leftrightarrow$  shrinking circle, stationary line, or  
stationary line of multiplicity two

(Classification of convex shrinkers (in  $\mathbb{R}^2$ ))

Lemma: The blow-down,  $\lim_{\lambda \rightarrow 0} \{ \lambda \Gamma_{\lambda^{-2}t} \}_{t \in (-\infty, 0)}$ , exists. It is either  $\{S_{\sqrt{2}t}\}_{t \in (-\infty, 0)}$  or, upto a rotation,  $\{L_t\}_{t \in (-\infty, 0)}$  of multiplicity one or two.

proof: Convexity  $\Rightarrow \textcircled{4} < C$  for all  $\{\Gamma_t\}$ . Thus,

(modulo limits)

$$\begin{aligned}
 & \text{[scribbled out]} - \int_a^b \int_{\lambda \Gamma_{\lambda^{-2}t}} \left| \vec{K}(p) + \frac{p^\perp}{-2t} \right|^2 d\mathcal{H}^1(p) = \textcircled{4}_\lambda(b) - \textcircled{4}_\lambda(a) \\
 & = \textcircled{4}(\lambda^{-2}b) - \textcircled{4}(\lambda^{-2}a) \\
 & \rightarrow 0
 \end{aligned}$$

□

\* Cf. Wang



Lemma: If the blow-down is

①  $\{S_{\sqrt{2}t}\}_{t \in (-\infty, 0)}$ , then  $\{\Gamma_t\}$  is a shrinking circle

②  $\{L_t\}_{t \in (-\infty, 0)}$  of mult. 1, then  $\{\Gamma_t\}$  is a stationary line

Proof: ①  $\Rightarrow \Gamma_t$  is bounded. The CAGE-Hamilton theorem then implies that, ~~lim~~ after a space-time translation,

$$\lim_{\lambda \rightarrow \infty} \{\lambda \Gamma_{\lambda^{-2}t}\} \rightarrow \{S_{\sqrt{2}t}\}_{t \in (-\infty, 0)}.$$

But then ④ is constant & hence  $\Gamma_t$  is  $\{S_{\sqrt{2}t}\}_{t \in (-\infty, 0)}$

② similar, since the blow-up at a regular point is a stationary line.

# Harnack inequality

$h > 0 \Rightarrow$  turning angle,  $\vec{v} = (\cos \theta, \sin \theta)$ , well-defined.

Thm (Hamilton):  $\partial_t (\sqrt{t-\alpha} h(\theta, t)) \geq 0$  ①

$\alpha = -\infty \Rightarrow \partial_t h(\theta, t) \geq 0$ . ②

+ strict inequality unless  $\partial_t h(\theta, t) \equiv 0$  ~~unless~~ !

$\Leftrightarrow$  translator,  $\Gamma_t = \Gamma_0 + t\vec{e}$ .

(stationary line or Grim Reaper)

(! classification of (convex) translators in  $\mathbb{R}^2$ )

(strict inequality in ① unless  $\partial_t (\sqrt{t-\alpha} h) \equiv 0 \Leftrightarrow$  expander,  
 $\Gamma_t = \sqrt{t-\alpha} \Gamma_{\alpha+1}$

Theorem (Wang) : If the flow-down is  $\{2L_t\}_{t \in (-\infty, 0)}$ ,  
then  $\{\Gamma_t\}$  lies in a ~~plane~~ (parallel) slab.

Key to the proof:

Lemma - The arrival time,  $u: \bigcup_t \Gamma_t \rightarrow \mathbb{R}$ ,

$$u(p) = t \Leftrightarrow p \in \Gamma_t,$$

is locally concave.

proof: Differentiating

$$u(\gamma(t)) = t$$

in tangential & normal directions yields

$$-D^2 u = \begin{bmatrix} 1 & 0 \\ 0 & k_t/k^3 \end{bmatrix}$$

□

Remains to classify unbounded, loc. unit.

Convex solutions in  $(-\frac{\pi}{2}, \frac{\pi}{2}) \times \mathbb{R}$  (& no thinner slab).

(Following proof also works in the bounded case).

Wlog,  $\vartheta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ . &  $w > 0$ .

Lemma:  $\{\Gamma_{t+s} - P_s\}_{t \in (-\infty, -s)} \xrightarrow{C_{loc}^\infty} \{r G_{r^2 t}\}_{t \in (-\infty, \infty)}$ ,

where  $r^{-1} := \lim_{s \rightarrow -\infty} K^{\infty}(0, s)$ .

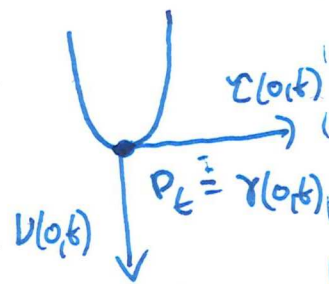
Proof: •  $r^{-1}$  exists by Harnack (pos. a posteriori)

- Harnack  $\Rightarrow$   $K$  bounded  $\Rightarrow$  subseq. convergence
- $K^\infty(0, t)$  constant by construction,

Harnack  $\Rightarrow$  sublimit is  $\{r G_{r^2 t}\}$

(since  $V(0, 0) = (0, -1)$ ).

□





Elementary Corollary:  $\bigcup_{t < 0} \Omega_t = (-\frac{\pi}{2}, \frac{\pi}{2}) \times \mathbb{R}$ .

proof: Use convexity &  $\lim_{s \rightarrow -\infty} h(0, s) > 0$ .

(• Wlog,  $\{y=0\}$  supports  $\Gamma_0$ .)

Lemma:  $r = 1$ .

proof: on the one hand,

$$-\frac{d}{dt} \text{Area}(\Omega_t \cap \{y < 0\}) = \int_P^Q h \, ds$$

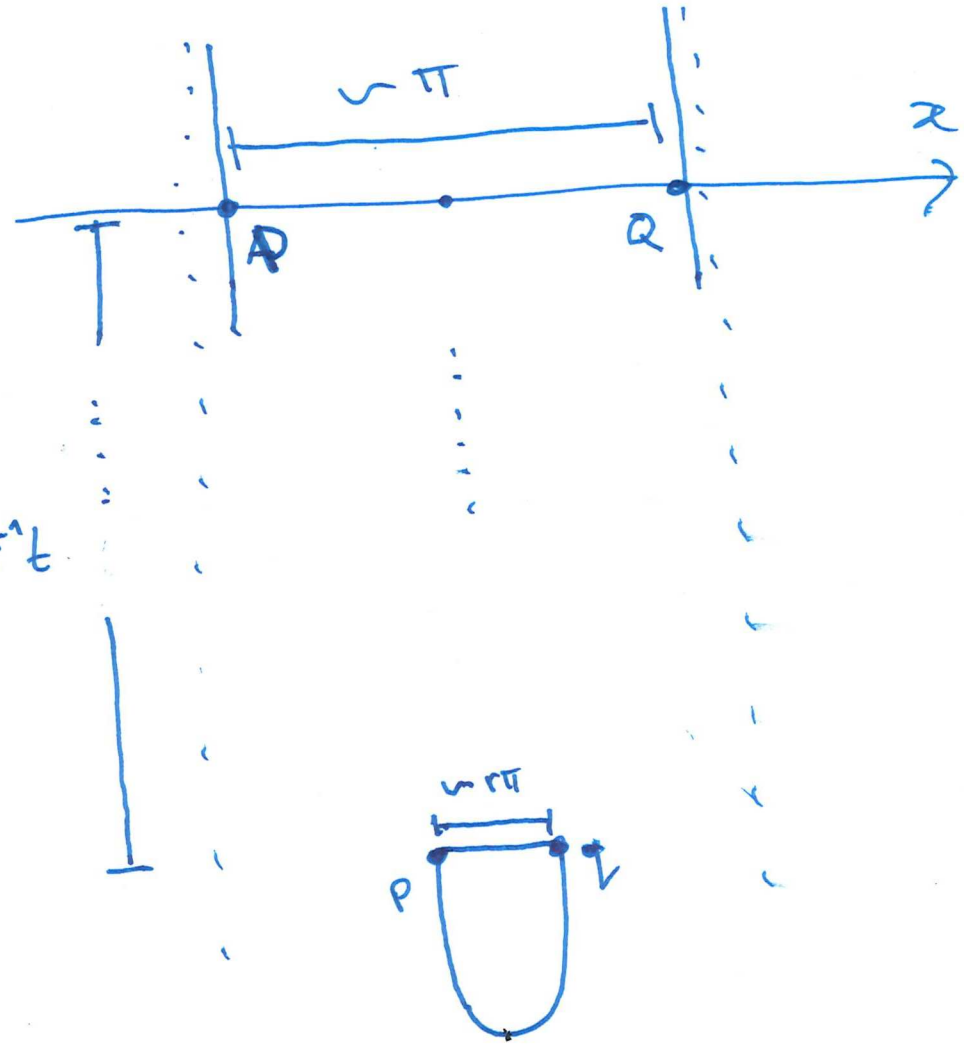
$$< \pi$$

$$\Rightarrow \text{Area}(\Omega_t \cap \{y < 0\}) < -\pi t.$$

on the other hand,

$$\text{Area}(\Omega_t \cap \{y < 0\}) > \text{Area}(PQqp)$$

$$\sim -\frac{\pi}{2}(1+r) \cdot r^{-1}t$$

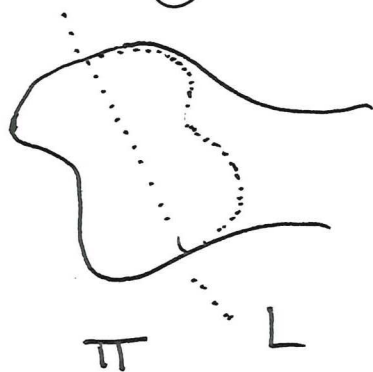




# Alexandrov reflection

Thm (Chow-Culler): If  $\Gamma_{t_0}$  is bounded & reflects about the line  $L$ , then  $\Gamma_t$  reflects about  $L$  for all  $t > t_0$ .

proof: Strong maximum principle + ~~top~~ boundary point lemma.  $\square$



$\Gamma_t$  "reflects about  $L$ " if  $R\Gamma_t \cap \Gamma_t = \emptyset$ ,  
where  $R\Gamma_t$  is the reflection about  $L$   
of  $\Gamma_t \cap \Pi$ , where  $\partial\Pi = L$  (with orientation).

If ~~unbounded~~  $\Gamma_{t_0}$  is unbounded, the argument still works if  $\Gamma_t$  "reflects about  $L$  at infinity" for all  $t \geq t_0$ .

Lemma:  $\Gamma_t$  is reflection symmetric about  $\{x=0\}$  for all  $t$ .

Lemma:  $\lim_{t \rightarrow -\infty} (l(t) + t) \geq 0$ , where  $l(t) = \langle \gamma(0, t), \nu(0, t) \rangle$ .

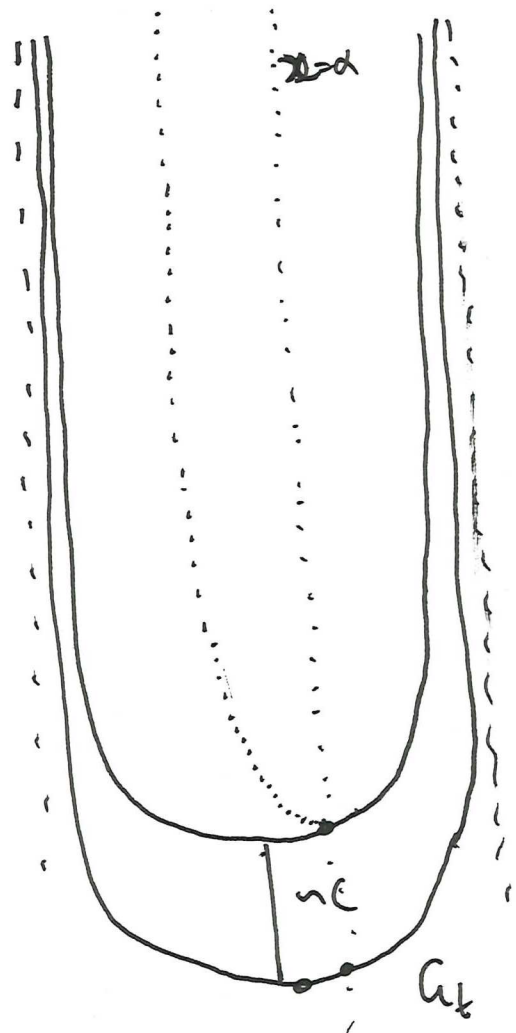
proof: Harnack inequality  $\Rightarrow \frac{d}{dt}(l(t) + t) \leq 0$

Suppose, "wlog", that  $C := \lim_{t \rightarrow -\infty} (l(t) + t) \leq 0$  (possibly  $-\infty$ )

Then, for any  $\alpha > 0$ , the reflection of  $\Gamma_t$  about  $\{x = \alpha\}$  lies above  $C_t$  for all  $t$  suff. small.

Since  $C \leq 0$  &  $l(t) + t$  is monotone, "contact" can never occur at the plane of symmetry.

Alexander's refl. princ. then implies that the reflection lies above  $C_t \forall t > t_0$   
 $\alpha \rightarrow 0 \Rightarrow \Gamma_t \cap C_t = \emptyset \quad \forall (t > 0) \quad \square$



Given  $\varepsilon > 0$ , set  $\Gamma_t^\varepsilon := \Gamma_{t+\varepsilon}$ . Then

$$\lim_{t \rightarrow -\infty} (\ell(t+\varepsilon) + t) > 0$$

& hence, by the preceding argument,

$\Gamma_{t+\varepsilon}$  lies above  $C_t$  for all  $t$

Taking  $\varepsilon \rightarrow 0$ ,

$\Gamma_t$  lies above  $C_t$  for all  $t$

Since  $0 \in \Gamma_0 \cap C_0$ , the strong maximum principle implies

$\Gamma_t = C_t$  for all  $t$ .



## Remarks:

- Similar argument  $\Rightarrow \{A_k\}_{k \in (-\infty, 0)}$  is the only bounded example in a slab.
- Alexandrov reflection was used to obtain uniqueness of the "shrinking parallel" in  $S^2$  by Bryan & Louie.
- Wang implicitly assumed compact time slices. This was required in his proof (missing some details) that the arrival time is concave (concavity maximum principle) (#Trudinger). We remove this assumption in all dimensions in another paper (but require  $\sup_t |A| < \infty$  when  $n > 1$ ).
- "scaling" arguments + monotonicity formula very useful for entire solutions (but not for non-entire).
- "translating" + Harnack very useful for non-entire solutions (but apparently not for entire ones).



## Higher dimensions (slabs)

Let  $\{M_t\}_{t \in (-\infty, \infty)}$  be a convex ancient solution in  $(-\frac{\pi}{2}, \frac{\pi}{2}) \times \mathbb{R}^n$ .  
with  $\boxed{\sup_{M_t} |A| < \infty \text{ for all } t}$ .  $\leftarrow$  superfluous?

By the Harnack inequality, the squash-down

$$\cancel{M_\infty} \quad \cancel{M_\infty} \quad M_\infty := \lim_{t \rightarrow -\infty} \frac{1}{-t} M_t$$

exists. (It is a convex body in  $\{0\} \times \mathbb{R}^n$ ).

Theorem (BLT):  $M_\infty$  circumscribes  $\{0\} \times S^{n-1}$ .

(\* Use this to obtain reflection symmetry).

Conjecture (BLT): For any convex body  $P$  in  $\{0\} \times \mathbb{R}^n$  which circumscribes  $\{0\} \times S^{n-1}$ , there exists an ancient soln to MCF in  $(-\frac{\pi}{2}, \frac{\pi}{2}) \times \mathbb{R}^n$  with squash-down  $P$ .

We were able to prove existence when  $P$  is

- a regular (unbounded) polytope

(the unbounded  $P$  yield translators)

- an (unbounded) simplex

(the unbounded  $P$  yield translators)

- a truncated regular cone

(these examples are eternal & nontranslating,  
which disproves a conjecture of White).

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(\*) Construction relies heavily on the existence (BLT)  
of a rotationally symmetric example (& its  
properties).

$$M^{\#} := \lim_{t \rightarrow \infty} \frac{1}{t} M_t$$

Curvature  
plane

Curvature  
plane

$$t = +\infty$$

flying  
wing

Curvature  
plane

Curvature  
plane

$$M_{\#} := \lim_{t \rightarrow -\infty} \frac{1}{t} M_t$$

flying  
wing

Curvature  
plane

flying  
wing

$$t = -\infty$$

-20- A total exterior angles preserved.